



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/740,582	12/19/2000	Man C. Niu	013402.00003

CONFIRMATION NO. 6349

## FORMALITIES LETTER



\*OC000000005799317\*

Charles N. Quinn  
Saul Ewing LLP  
1500 Market Street  
Centre Square West, 38th Floor  
Philadelphia, PA 19102

Date Mailed: 02/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$405.
  - \$45 for 5 total claims over 20.
  - \$360 for 9 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 825.**

04/25/2001 BSAVAST1 00000046 501009 09740582

01 FC:201	355.00 CH
02 FC:202	360.00 CH
03 FC:203	45.00 CH
04 FC:205	65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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# Sector

Practitioner's Desk No. 13402.00004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Nu, Man Chiang  
Application No.: 09/740,582                      Group No.: NYA  
Filed: December 19, 2000                      Examiner: NYA  
For: METHODS FOR EFFECTUATING mRNA  
TRANSFER OF GENETIC INFORMATION  
BETWEEN SPECIES AND PRODUCT OF THE SAME

Box Missing Part  
Assistant Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed February 26, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is copy of declaration or oath filed in the parent application .

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

Date: 4-19-01

*Sherry Barag*  
Signature  
SHERRY BARAG  
(type or print name of person certifying)

### **SMALL ENTITY STATUS**

**III.** Applicant is a small entity. A Statement Claiming Small Entity Status was previously filed in the parent application.

### **COMPLETION FEES**

#### **IV.**

##### **1. Filing Fee**

Original patent application (37 C.F.R. Section 1.16(a))	\$355.00
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##### **2. Fees for Claims**

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$360.00
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Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$45.00
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##### **3. Surcharge Fees**

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
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Total Completion Fees	\$825.00
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### **EXTENSION OF TIME**

**V.** The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

### **TOTAL FEE DUE**

#### **VI.** The total fee due is:

Completion fees	\$825.00
Extension fee (if any)	\$0.00
Total Fee Due	\$825.00

**PAYMENT OF FEES**

**VII.** Please charge Deposit Account No. 50-1089 in the amount of 825.00.

Please charge Account No. 50-1089 for any additional fees that may be due by this paper.

Date: 4-19-01



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